



FENWICK & WEST LLP

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JUN 06 2005

CONFIDENTIAL

DATE: June 6, 2005

CLIENT-MATTER No.: 22930-06082

To:

NAME	FAX No.	PHONE No.
Examiner Robert M. Pond Group Art Unit 3625 Commissioner for Patents	(703) 872-9306	(703) 605-4253

FROM: Colleen V. Chien

PHONE: (415) 875-2319

NUMBER OF PAGES WITH COVER PAGE: 21

ORIGINAL WILL NOT FOLLOW

MESSAGE:

AMENDMENT B

Applicant: Richard V. Halbert, *et al.*
App. No.: 09/677,095
Filing Date: September 29, 2000
Title: DYNAMIC MARKET EQUILIBRIUM MANAGEMENT SYSTEM,
PROCESS, AND ARTICLE OF MANUFACTURE
Atty. Dkt. No. 22930-06082

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22950/08898/SF/5144702.1

JUN 06 2005

**FEE TRANSMITTAL
for FY 2005**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$120.****Complete if Known**

Application Number	09/677,095
Filing Date	September 29, 2000
First Named Inventor	Richard V. Halbert, et al.
Examiner Name	Robert M. Pond
Art Unit	3525
Attorney Docket No.	22930-08082

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application
☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath or declaration	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	120
1252	450	2252	225	Extension for reply within second month	
1253	1020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1603	1100	2503	550	Plant issue fee	
1460	—	1460	—	Petitions to the Director	
1807	50	1807	50	Processing fee for Provisional Applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Excess Claims	Fee from above	Fee Paid
20**	—	—	—
3**	—	—	—

Multiple Dependent

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2203	180	Multiple dependent claim, if not paid
1204	200	2204	100	**Reissue independent claims over original patent
1205	50	2205	25	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath or declaration	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
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1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$ 120.

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) Colleen V. Chien

Registration No. (Attorney/Agent)

55,062

Complete (if applicable)

Telephone 415.875.2319

Signature

Date

June 6, 2005

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IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Richard V. Halbert *et al.*
APPLICATION NO.: 09/677,095
FILING DATE: September 29, 2000
TITLE: DYNAMIC MARKET EQUILIBRIUM MANAGEMENT
SYSTEM, PROCESS, AND ARTICLE OF MANUFACTURE
EXAMINER: Robert M. Pond
GROUP ART UNIT: 3625
ATTY. DKT. NO.: 22930-06082

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile transmission to the U.S. Patent and Trademark Office at facsimile number (703) 872-9306 and addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below: 6/6/05

Dated: _____

By: _____

Colleen V. Chien, Reg. No. 55,062

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

AMENDMENT B

Sir:

This amendment for the patent application identified above is in response to the Office Action dated February 4, 2005, which set a shortened statutory period for response that expires on June 4, 2005.

06/08/2005 JBALINAH 00000031 192555 09677095

01 FC:1251 120.00 DA

App. No. 09/677,095

PAGE 3/20 * RCVD AT 6/6/2005 11:26:33 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-1/2 * DNIS:8729306 * CSID:4152811350 * DURATION (mm-ss):07-26